

| General information about company | |
|--|-----------------------|
| Scrip code | 539198 |
| Name of the company | Capfin India Limited |
| Whether company is SME | No |
| Class of Security | Equity Shares |
| Type of report | Quarterly |
| Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment) | 31-03-2017 |
| Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date | |
| Shareholding pattern filed under | Regulation 31 (1) (b) |

| Sr. No. | Particular | Yes/No |
|---------|--|--------|
| 1 | Whether the Listed Entity has issued any partly paid up shares? | No |
| 2 | Whether the Listed Entity has issued any Convertible Securities ? | No |
| 3 | Whether the Listed Entity has issued any Warrants ? | No |
| 4 | Whether the Listed Entity has any shares against which depository receipts are issued? | No |
| 5 | Whether the Listed Entity has any shares in locked-in? | No |
| 6 | Whether any shares held by promoters are pledge or otherwise encumbered? | No |
| 7 | Whether company has equity shares with differential voting rights? | No |

Table I - Summary Statement holding of specified securities

| Category (I) | Category of shareholder (II) | Nos. Of shareholders (III) | No. of fully paid up equity shares held (IV) | No. Of Partly paid-up equity shares held (V) | No. Of shares underlying Depository Receipts (VI) | Total nos. shares held (VII) = (IV)+(V)+(VI) | Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | Number of Voting Rights held in each class of securities (IX) | | | Total as a % of (A+B+C) |
|--------------|--------------------------------|----------------------------|--|--|---|--|---|---|-------------|---------|-------------------------|
| | | | | | | | | No of Voting (XIV) Rights | | | |
| | | | | | | | | Class eg. X | Class eg. y | Total | |
| (A) | Promoter & Promoter Group | 1 | 125710 | | | 125710 | 4.39 | 125710 | | 125710 | 4.39 |
| (B) | Public | 628 | 2738990 | | | 2738990 | 95.61 | 2738990 | | 2738990 | 95.61 |
| (C) | Non Promoter-Non Public | | | | | | | | | | |
| (C1) | Shares underlying DRs | | | | | | | | | | |
| (C2) | Shares held by Employee Trusts | | | | | | | | | | |
| | Total | 629 | 2864700 | | | 2864700 | 100 | 2864700 | | 2864700 | 100 |

Table I - Summary Statement holding of specified securities

| Category (I) | Category of shareholder (II) | No. Of Shares Underlying Outstanding convertible securities (X) | No. of Shares Underlying Outstanding Warrants (Xi) | No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X).As a % of (A+B+C2) | Number of Locked in shares (XII) | | Number of Shares pledged or otherwise encumbered (XIII) | | Number of equity shares held in dematerialized form (XIV) |
|--------------|--------------------------------|---|--|--|---|----------------------------------|---------------------------------|---|---------------------------------|---|
| | | | | | | No. (a) | As a % of total Shares held (b) | No. (a) | As a % of total Shares held (b) | |
| (A) | Promoter & Promoter Group | | | | 4.39 | | | | | 125710 |
| (B) | Public | | | | 95.61 | | | | | 1308090 |
| (C) | Non Promoter-Non Public | | | | | | | | | |
| (C1) | Shares underlying DRs | | | | | | | | | |
| (C2) | Shares held by Employee Trusts | | | | | | | | | |
| | Total | | | | 100 | | | | | 1433800 |

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

| Sr. | Category & Name of the Shareholders (1) | Nos. Of shareholders (III) | No. of fully paid up equity shares held (IV) | No. Of Partly paid-up equity shares held (V) | No. Of shares underlying Depository Receipts (VI) | Total nos. shares held (VII) = (IV)+(V)+(VI) | Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | Number of Voting Rights held in each class of securities (IX) | | | Total as a % of Total Voting rights |
|--|---|----------------------------|--|--|---|--|---|---|-------------|---------|-------------------------------------|
| | | | | | | | | No of Voting (XIV) Rights | | | |
| | | | | | | | | Class eg. X | Class eg. Y | Total | |
| A | Table II - Statement showing shareholding pattern of the Promoter and Promoter Group | | | | | | | | | | |
| (1) | Indian | | | | | | | | | | |
| (a) | Individuals/Hindu undivided Family | 1 | 125710 | | | 125710 | 4.39 | 125710 | | 125710 | 4.39 |
| Sub-Total (A)(1) | | 1 | 125710 | | | 125710 | 4.39 | 125710 | | 125710 | 4.39 |
| (2) | Foreign | | | | | | | | | | |
| Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2) | | 1 | 125710 | | | 125710 | 4.39 | 125710 | | 125710 | 4.39 |
| B | Table III - Statement showing shareholding pattern of the Public shareholder | | | | | | | | | | |
| (1) | Institutions | | | | | | | | | | |
| (3) | Non-institutions | | | | | | | | | | |
| (a)(i) | Individuals - i) Individual shareholders holding nominal share capital up to Rs. 2 lakhs. | 584 | 1301715 | | | 1301715 | 45.44 | 1301715 | | 1301715 | 45.44 |
| (a)(ii) | Individuals - ii) Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs. | 28 | 890841 | | | 890841 | 31.1 | 890841 | | 890841 | 31.1 |
| (e) | Any Other (specify) | 16 | 546434 | | | 546434 | 19.07 | 546434 | | 546434 | 19.07 |
| Sub-Total (B)(3) | | 628 | 2738990 | | | 2738990 | 95.61 | 2738990 | | 2738990 | 95.61 |
| Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3) | | 628 | 2738990 | | | 2738990 | 95.61 | 2738990 | | 2738990 | 95.61 |
| C | Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder | | | | | | | | | | |
| Total (A+B+C2) | | 629 | 2864700 | | | 2864700 | 100 | 2864700 | | 2864700 | 100 |
| Total (A+B+C) | | 629 | 2864700 | | | 2864700 | 100 | 2864700 | | 2864700 | 100 |

| Table II - Statement showing shareholding pattern of the Promoter and Promoter Group | | | | | | | | | |
|--|---|--|--|---|----------------------------------|---------------------------------|---|---------------------------------|---|
| Sr. | No. Of Shares Underlying Outstanding convertible securities (X) | No. of Shares Underlying Outstanding Warrants (Xi) | No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (X1)= (VII)+(X) As a % of (A+B+C2) | Number of Locked in shares (XII) | | Number of Shares pledged or otherwise encumbered (XIII) | | Number of equity shares held in dematerialized form (XIV) |
| | | | | | No. (a) | As a % of total Shares held (b) | No. (a) | As a % of total Shares held (b) | |
| A | Table II - Statement showing shareholding pattern of the Promoter and Promoter Group | | | | | | | | |
| (1) | Indian | | | | | | | | |
| (a) | | | | 4.39 | | | | | 125710 |
| Sub-Total (A) (1) | | | | 4.39 | | | | | 125710 |
| (2) | Foreign | | | | | | | | |
| Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2) | | | | 4.39 | | | | | 125710 |
| B | Table III - Statement showing shareholding pattern of the Public shareholder | | | | | | | | |
| (1) | Institutions | | | | | | | | |
| (3) | Non-institutions | | | | | | | | |
| (a(i)) | | | | 45.44 | | | | | 443615 |
| (a(ii)) | | | | 31.1 | | | | | 471741 |
| (c) | | | | 19.07 | | | | | 392734 |
| Sub-Total (B) (3) | | | | 95.61 | | | | | 1308090 |
| Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3) | | | | 95.61 | | | | | 1308090 |
| C | Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder | | | | | | | | |
| Total (A+B+C2) | | | | 100 | | | | | 1433800 |
| Total (A+B+C) | | | | 100 | | | | | 1433800 |

| Individuals/Hindu undivided Family | | |
|---|---------------|---------------------------------------|
| Serial No. | 1 | |
| Name of the Shareholders (I) | Sarita Mantry | Click here to go back |
| PAN (II) | AAJPM0903E | Total |
| No. of fully paid up equity shares held (IV) | 125710 | 125710 |
| No. Of Partly paid-up equity shares held (V) | | |
| No. Of shares underlying Depository Receipts (VI) | | |
| Total nos. shares held (VII) = (IV)+ (V)+ (VI) | 125710 | 125710 |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 4.39 | 4.39 |
| Number of Voting Rights held in each class of securities (IX) | | |
| Class eg X | 125710 | 125710 |
| Class eg y | | |
| Total | 125710 | 125710 |
| Total as a % of Total Voting rights | 4.39 | 4.39 |
| No. Of Shares Underlying Outstanding convertible securities (X) | | |
| No. of Shares Underlying Outstanding Warrants (Xi) | | |
| No. Of Shares Underlying Outstanding convertible securities and No Of Warrants (Xi) (a) | | |
| Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(Xi)(a) As a % of (A+B+C2) | 4.39 | 4.39 |
| Number of Locked in shares (XII) | | |
| No. (a) | | |
| As a % of total Shares held (b) | | |

| Number of Shares pledged or otherwise encumbered (XIII) | | |
|---|--------|--------|
| No. (a) | | |
| As a % of total Shares held (b) | | |
| Number of equity shares held in dematerialized form (XIV) | 125710 | 125710 |
| Reason for not providing PAN | | |
| Reason for not providing PAN | | |

| Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs. | | | | | | | |
|--|--------------|-------------|--------------------|------------|---------------|------------|----------------------|
| Serial No. | 1 | 2 | 3 | 4 | 5 | 6 | 7 |
| Name of the Shareholders (I) | BHUWAN CHAND | GEETA GUPTA | JAGJEET KAUR MALIK | KAVERI | MADHU AGARWAL | RAGHAV | SHIV SHANKAR AGARWAL |
| PAN (II) | ZZZZZ9999Z | ZZZZZ9999Z | ABJPM8517G | ZZZZZ9999Z | ZZZZZ9999Z | ZZZZZ9999Z | AAGPA4681F |
| No. of fully paid up equity shares held (IV) | 29200 | 41900 | 111600 | 55900 | 40100 | 39100 | 30700 |
| No. Of Partly paid-up equity shares held (V) | | | | | | | |
| No. Of shares underlying Depository Receipts (VI) | | | | | | | |
| Total nos. shares held (VII) = (IV)+ (V)+ (VI) | 29200 | 41900 | 111600 | 55900 | 40100 | 39100 | 30700 |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 1.02 | 1.46 | 3.9 | 1.95 | 1.4 | 1.36 | 1.07 |
| Number of Voting Rights held in each class of securities (IX) | | | | | | | |
| Class eg. X | 29200 | 41900 | 111600 | 55900 | 40100 | 39100 | 30700 |
| Class eg. y | | | | | | | |
| Total | 29200 | 41900 | 111600 | 55900 | 40100 | 39100 | 30700 |
| Total as a % of Total Voting rights | 1.02 | 1.46 | 3.9 | 1.95 | 1.4 | 1.36 | 1.07 |
| No. Of Shares Underlying Outstanding convertible securities (X) | | | | | | | |
| No. of Shares Underlying Outstanding Warrants (Xi) | | | | | | | |
| No. Of Shares Underlying Outstanding convertible securities and No Of Warrants (Xi) (a) | | | | | | | |
| Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)- (VII) +(X) As a % of (A+B+C2) | 1.02 | 1.46 | 3.9 | 1.95 | 1.4 | 1.36 | 1.07 |
| Number of Locked in shares (XII) | | | | | | | |
| No. (a) | | | | | | | |
| As a % of total | | | | | | | |

| | | | | | | | |
|---|-------------------------|-------------------------|--------|-------------------------|-------------------------|-------------------------|-------|
| Shares held (b) | | | | | | | |
| Number of equity shares held in dematerialized form (XIV) | 0 | 0 | 111600 | 0 | 40100 | 0 | 30700 |
| Reason for not providing PAN | | | | | | | |
| Reason for not providing PAN | Textual Information (1) | Textual Information (2) | | Textual Information (3) | Textual Information (4) | Textual Information (5) | |

Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.

| Serial No | 8 | 9 | |
|--|-------------|--------------------------|---------------------------------------|
| Name of the Shareholders (I) | SUDESH SONI | SURYA PRAKASH MAHESHWARI | Click here to go back |
| PAN (II) | ZZZZ1999Z | AAUPM4669B | Total |
| No. of fully paid up equity shares held (IV) | 30400 | 31727 | 410627 |
| No. Of Partly paid-up equity shares held (V) | | | |
| No. Of shares underlying Depository Receipts (VI) | | | |
| Total nos. shares held (VII) = (IV)+ (V)+ (VI) | 30400 | 31727 | 410627 |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 1.06 | 1.11 | 14.33 |
| Number of Voting Rights held in each class of securities (IX) | | | |
| Class eg. X | 30400 | 31727 | 410627 |
| Class eg. y | | | |
| Total | 30400 | 31727 | 410627 |
| Total as a % of Total Voting rights | 1.06 | 1.11 | 14.33 |
| No. Of Shares Underlying Outstanding convertible securities (X) | | | |
| No. of Shares Underlying Outstanding Warrants (Xi) | | | |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | | | |
| Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII) + (X) As a % of (A+B+C2) | 1.06 | 1.11 | 14.33 |
| Number of Locked in shares (XII) | | | |
| No. (a) | | | |
| As a % of total Shares held (b) | | | |

| | | | |
|---|------------------------|-------|--------|
| Number of equity shares held in dematerialized form (XIV) | 0 | 31727 | 214127 |
| Reason for not providing PAN | | | |
| Reason for not providing PAN | Textual Information(6) | | |

| Text Block | |
|------------------------|---------------|
| Textual Information(1) | Not Available |
| Textual Information(2) | Not Available |
| Textual Information(3) | Not Available |
| Textual Information(4) | Not Available |
| Textual Information(5) | Not Available |
| Textual Information(6) | Not Available |

| Any Other (specify) | | | | | |
|---|--|--|--|--|-----------------------|
| Serial No | 1 | 2 | 3 | 4 | |
| Category | Bodies Corporate | Bodies Corporate | Bodies Corporate | Bodies Corporate | |
| Category / More than 1 percentage | More than 1 percentage of shareholding | More than 1 percentage of shareholding | More than 1 percentage of shareholding | More than 1 percentage of shareholding | |
| Name of the Shareholders (I) | FOCUS STOCK BROKERS LTD | YDS SECURITIES LTD | MANTRI LEASING & FINANCIAL SERVICES PT LTD | MANTRI CAPITAL SERVICES LTD | Click here to go back |
| PAN (II) | AAACM1454F | ZZZZZ9999Z | AAACM6487A | AAACM5627N | Total |
| No. of the Shareholders (I) | 1 | 1 | 1 | 1 | 0 |
| No. of fully paid up equity shares held (IV) | 226200 | 100000 | 93600 | 65000 | 0 |
| No. Of Partly paid-up equity shares held (V) | | | | | |
| No. Of shares underlying Depository Receipts (VI) | | | | | |
| Total nos. shares held (VII) = (IV)+ (V)+ (VI) | 226200 | 100000 | 93600 | 65000 | 0 |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 7.9 | 3.49 | 3.27 | 2.27 | 0 |
| Number of Voting Rights held in each class of securities (IX) | | | | | |
| Class eg. X | 226200 | 100000 | 93600 | 65000 | 0 |
| Class eg. y | | | | | |
| Total | 226200 | 100000 | 93600 | 65000 | 0 |
| Total as a % of Total Voting rights | 7.9 | 3.49 | 3.27 | 2.27 | 0 |
| No. Of Shares Underlying Outstanding convertible securities (X) | | | | | |
| No. of Shares Underlying Outstanding Warrants (Xi) | | | | | |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | | | | | |
| Shareholding, as a % assuming full conversion of convertible securities (as a percentage of | 7.9 | 3.49 | 3.27 | 2.27 | 0 |

| | | | | | |
|---|--------|-------------------------|-------|-------|---|
| diluted share capital) (XI)= (VII) + (X) As a % of (A+B+C2) | | | | | |
| Number of Locked in shares (XII) | | | | | |
| No. (a) | | | | | |
| As a % of total Shares held (b) | | | | | |
| Number of equity shares held in dematerialized form (XIV) | 226200 | 0 | 93600 | 65000 | 0 |
| Reason for not providing PAN | | | | | |
| Reason for not providing PAN | | Textual Information (I) | | | |

Text Block

Textual Information (1)

Not Available

